



**UNITED STATES PATENT AND TRADEMARK OFFICE**

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/945,105	08/31/2001	Kerry Adam	12235-03/JWE

**CONFIRMATION NO. 2118**

COPY OF PAPERS  
ORIGINALLY FILED

**FORMALITIES LETTER**



\*OC000000006846765\*

STRADLING YOCCA CARLSON & RAUTH,  
IP Department  
660 Newport Center Drive, Suite 1600  
P.O. Box 7680  
Newport Beach, CA 92660-6441

Date Mailed: 10/04/2001

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

**FILED UNDER 37 CFR 1.53(b)**

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 355 to complete the basic filing fee for a small entity.*
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 420.

*A copy of this notice **MUST** be returned with the reply.*

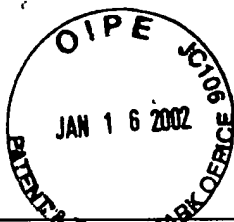
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

01/22/2002 BABRAHA1 00000241 501329 09945105

01 FC:205 65.00 OP  
02 FC:201 15.00 CH 355.00 OP



COPY OF PAPERS  
ORIGINALLY FILED

TRADEMARK APPLICATION  
Client Matter No. : 12275-03/JWE

**CERTIFICATE OF MAILING**  
I hereby certify that on December 3, 2001, this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service with sufficient postage in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, DC 20231.

37 C.F.R. § 1.8(a)

☒ with sufficient postage

as first class mail

*Patricia Meade*  
Patricia Meade

37 C.F.R. § 1.10

☐ as "Express Mail Post Office to Addressee" Mailing Label No.

Applicant : Kerry Adams, et al.  
Application No.: : 09/945,105  
Filed : August 31, 2001  
Title : ELECTRONIC TRADING  
PLATFORM FOR  
AGRICULTURAL  
COMMODITIES  
Grp./Div. : To be assigned  
Examiner: : To be assigned  
Client Matter No.: 12275-03/JWE

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#### LETTER IN RESPONSE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

#### BOX MISSING PARTS

Assistant Commissioner for Patents  
Washington, D.C. 20231

December 3, 2001

Sir:

In response to the Notice to File Missing Parts of Application dated October 4, 2001, enclosed are:

1. Copy of Notice to File Missing Parts of Nonprovisional Application;
2. Original Declaration and Power of Attorney (fully executed);
3. Missing Parts surcharge of \$65.00;
4. Basic filing fee in the amount of \$ 355.00;
5. Check for total of above fee(s) due of \$ 420.00;

✕ The Commissioner is hereby authorized to treat any concurrent or future reply, requiring a petition for an extension of time under 37 CFR § 1.136 for its timely submission, as incorporating a petition for extension of time for the appropriate length of time.

✕ The Commissioner is hereby authorized to charge any fees under 37 CFR 1.16 and 1.17 which may be required during the pendency of this application to Deposit Account No. 501329.

**Application No. 09/945,105**

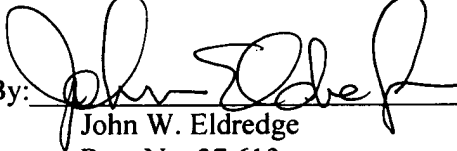
**PATENT APPLICATION**  
**Client Matter No.: 12275-03/JWE**

Please show our docket number with any charge or credit to our Deposit Account. **A copy of this letter is enclosed.**

Please address all correspondence to **STRADLING YOCCA CARLSON & RAUTH,**  
**IP Department, 660 Newport Center Drive, Suite 1600, P.O. Box 7680, Newport Beach,**  
**California 92660-6441.**

Respectfully submitted,

STRADLING YOCCA CARLSON & RAUTH

By:   
John W. Eldredge  
Reg. No. 37,613  
(949) 725-4143

Date: December 3, 2001

JWE/pm  
Enclosures